

10/17/01



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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

PTO/SB/05 (11-00)

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	DeCesare 1
First Inventor	Dominic DeCesare
Title	Electric Energy-Conversion Machines with Stator Windings Encircling the Rotor
Express Mail Label No.	EJ239782785US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 9]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Prior application Information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label 26345 or ☐ Correspondence address below  
(Display Customer No. or attach bar code label here)

Name			
Address			
City	State	Zip Code	
Country	Telephone	Fax	

Name (Print/Type)	David R. Padnes, Esq.	Registration No. (Attorney/Agent)	28,384
Signature		Date	October 17, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 370.00

## Complete if Known

Application Number TBA  
Filing Date Herewith  
First Named Inventor Dominic DeCesare  
Examiner Name TBA  
Group Art Unit TBA  
Attorney Docket No. DeCesare 1

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
Deposit Account Number 03-3839  
Deposit Account Name Gibbons, Del Deo, Dolan, Griffinger

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

1. BASIC FILING FEE  
Large Entity Small Entity  
Fee Fee Fee Fee Fee Description Fee Paid  
Code (\$) Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)  
101 740 201 370 Utility filing fee 370.00  
106 330 206 165 Design filing fee  
107 510 207 255 Plant filing fee  
108 740 208 370 Reissue filing fee  
114 160 214 80 Provisional filing fee

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES  
Total Claims 13  
Independent Claims 2  
Multiple Dependent  
Extra Claims  
Fee from below  
Fee Paid  
20\*\* = 0 X 0.00  
3\*\* = 0 X 0.00

Large Entity Small Entity  
Fee Fee Fee Fee Fee Description  
Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)  
103 18 203 9 Claims in excess of 20  
102 84 202 42 Independent claims in excess of 3  
104 280 204 140 Multiple dependent claim, if not paid  
109 84 209 42 \*\* Reissue independent claims over original patent  
110 18 210 9 \*\* Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

3. ADDITIONAL FEES  
Large Entity Small Entity  
Fee Fee Fee Fee Fee Description Fee Paid  
Code (\$) Code (\$) Code (\$) Code (\$) Code (\$) Code (\$)  
105 130 205 65 Surcharge - late filing fee or oath  
127 50 227 25 Surcharge - late provisional filing fee or cover sheet  
139 130 139 130 Non-English specification  
147 2,520 147 2,520 For filing a request for ex parte reexamination  
112 920\* 112 920\* Requesting publication of SIR prior to Examiner action  
113 1,840\* 113 1,840\* Requesting publication of SIR after Examiner action  
115 110 215 55 Extension for reply within first month  
116 400 216 200 Extension for reply within second month  
117 920 217 460 Extension for reply within third month  
118 1,440 218 720 Extension for reply within fourth month  
128 1,960 228 980 Extension for reply within fifth month  
119 320 219 160 Notice of Appeal  
120 320 220 160 Filing a brief in support of an appeal  
121 280 221 140 Request for oral hearing  
138 1,510 138 1,510 Petition to institute a public use proceeding  
140 110 240 55 Petition to revive - unavoidable  
141 1,280 241 640 Petition to revive - unintentional  
142 1,280 242 640 Utility issue fee (or reissue)  
143 480 243 230 Design issue fee  
144 620 244 310 Plant issue fee  
122 130 122 130 Petitions to the Commissioner  
123 50 123 50 Processing fee under 37 CFR 1.17(q)  
126 180 126 180 Submission of Information Disclosure Stmt  
581 40 581 40 Recording each patent assignment per property (times number of properties)  
146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))  
149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))  
179 740 279 370 Request for Continued Examination (RCE)  
169 900 169 900 Request for expedited examination of a design application  
Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0

## SUBMITTED BY

Name (Print/Type) David R. Pagnas, Esq.  
Signature

Registration No. (Attorney/Agent) 28,384

## Complete (if applicable)

Telephone 973-596-4671  
Date 10/17/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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10/17/2001 10:17:01

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

11/29/2001 SDUONG 00000005 033839 09981938

01 FC:201 370.00 CH

PTO-1556  
(5/87)

\*U.S. GPO: 1999-459-082/19144